



CENTRE FOR INTERNATIONAL
STUDIES AND COOPERATION



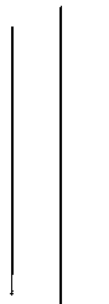
Program for
Accountability in Nepal

**Centre for International Studies and Cooperation (CECI)
Nepal Public Financial Management Multi-donor Trust Fund
Strengthening Civil Society Organizations' Use of Social Accountability to
Improve Public Financial Management in Nepal
(TF 012485NEP)**



IMPLEMENTATION PROGRESS REPORT

May, 2013



Third Quarter Report
Period: January to March, 2013
Fiscal Year 2012/2013

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Abbreviations and Acronyms

ALG	Action Learning Grant
CECI	Centre for International Studies and Cooperation
CoC	Code of Conduct
CSO	Civil Society Organization
GMA	Grant Management Agency
GMAL	Grant Making for Action Learning
GMC	Grant Making Committee
GoN	Government of Nepal
MG	Municipal Governance
NGO	Non-government Organization
PCU	Program Coordination Unit
PFM	Public Financial Management
PRAN	Program for Accountability in Nepal
PSD	Public Service Delivery
SA	Social Accountability
SC	Search Committee
VDC	Village Development Committee

Executive Summary

1. This quarterly implementation progress report is meant to document the progress made during the quarter January – March, 2013.
2. Five meetings of the Grant Making Committee (GMC) were organized in connection with the evaluation of proposals and selection of CSOs for grant making for the 4th batch under MDTF/PFM window.
3. The Grant Management Committee (GMC) was oriented to the provisions of new fund for grant making under MDTF/PFM. They were informed that the programme intends to make some 34 grants under the theme of Public Financial Management (PFM) of which 4 would be large grants and 30 would small grants.
4. As part of the communication strategy, CECI website for PRAN <http://www.ceci.ca/en/where-we-work/asia/nepal/pran/> has been updated and maintained. Besides, the progress were shared among different stakeholders on several occasions.
5. With the concurrence from PCU on the modified Guidelines for Applicants for **small grants** category, they were translated into Nepali language and the call for proposal was subsequently announced in mid-January.
6. Likewise, updates and adjustments were made on the format for FPP and evaluation criteria in line with the proposed SA initiatives for National PFM; and was made available to the CSOs shortlisted for FPP submission.
7. This quarter accomplished a major milestone in grant making with the completion of the process of evaluation of PCNs/FPPs of both small and large grant categories. 3 CSOs were recommended by GMC for large grant on **National PFM** while 30 CSOs were recommended for small grants on PFM at **VDC** level.
8. The quarterly progress report for the period October – December, 2012 along with the financial monitoring report was submitted to the TTL and was approved. Similarly, the withdrawal application was submitted to the World Bank and was approved by the TTL. Subsequently, the application for approved amount was submitted through the *clientconnection*; and the fund received in the Designated Account (DA).
9. CECI, together with other PRAN partners, continued to participate and share the progress in the Monthly Meetings as well as other occasional meetings organized by PCU and other partners including the 3 day residential Way Forward Workshop in March. Besides, it also engaged in the consultative process led by the World Bank on refining the results indicators as well as the designing of next phase of the project for until December 2015 including the Impact Evaluation Framework for MDTF-PFM.
10. The major priority for the next quarter will be to complete the negotiation with the selected CSOs, contract signing and getting the Sub-grantees commence the implementation of their projects..
11. The expenditure during this period amounted to **US\$ 23,232.86** and the closing balance at the designated account was **US\$ 215,536.14**. Net cash requirement projection for the next quarter amount to **US\$ 106,433.86**.

12. Some of the key challenges faced during this quarter included the delayed announcement of call for proposal for small grants, low turnout of applications for the large grants, and the determination of the issue of conflict of interest with respect to one of the applicants for national PFM.

1. Introduction

The Program for Accountability in Nepal (PRAN)¹ is a World Bank program to promote demand for good governance in Nepal. Financed by the State and Peace-building Fund (SPBF) – a Trust Fund at the World Bank -- for a period of over 3 years until December 2013, PRAN is being implemented in agreement with the Government of Nepal. PRAN's purpose is to improve governance and institutional performance in Nepal by promoting more accountable, honest, transparent and responsive government agencies delivering quality services. To this end, the PRAN aims to strengthen the capacity of civil society organizations and other non-state organizations, and that of the government officials, for constructive engagement for good governance through the effective use of social accountability approaches and tools. PRAN was set to support social accountability in three priority areas: a) Public Financial Management (PFM); b) Municipal Governance; and, c) Public Service Delivery.

In the context of the Government's priority to the public financial management reform in Nepal and in recognition of the importance of demand-side efforts in the form of social accountability initiatives towards attaining sustainable improvement in PFM, the **Multi-Donor Trust Fund for Public Financial Management Reform in Nepal (MDTF-PFM)** has provided fund to implement social accountability programs until June 2014 in the name of **Strengthening Civil Society Organizations' Use of Social Accountability to Improve Public Financial Management in Nepal**. Its development objective is to strengthen the transparency, efficiency and inclusiveness of public financial management in Nepal through fostering the use of different social accountability tools by Nepali CSOs. In order to support enhanced demand-side initiative in the theme of **public financial management**, the MDTF-PFM funding has adopted the overall program logic of PRAN as well as its architecture of program execution.

The PRAN is executed through five components designed to direct support towards knowledge and skill development in social accountability; piloting innovative social accountability initiatives and developing tools; networking of social accountability practitioners; monitoring and evaluating social accountability approaches and conducting research for learning; and program leadership and administration.

Component 2 is a recipient-executed independent *Grant Making for Action Learning* facility. The Center for International Studies and Cooperation (CECI) was selected, through a competitive process, to execute this component as Grant Management Agency (GMA) for PRAN. Component 2 will continue for Grant Making under MDTF-PFM funding until June 2014.

The Grant Agreement for executing the component with the MDTF-PFM fund was signed on August 30, 2012 with immediate effect. This quarterly implementation progress report is the **third** for the program since then. It presents the progress made during **January – March, 2013** which falls under the **third quarter** of the World Bank fiscal year **2012/13** (but the **fourth** quarter of CECI's fiscal year **2012/13**).

¹ The program was initially known as the Program to Promote Demand for Good Governance in Nepal (PROD).

2. Brief Description of the Component

To execute the Component in the theme of Public Financial Management, CECI will receive a grant of \$800,000 from the World Bank. CECI will make grants to Nepali CSOs for action learning projects through two grant categories: a) large grants of up to US\$50,000 for piloting social accountability initiatives and, b) small grants of up to US\$15,000 for undertaking action research on development and testing of social accountability tools. Total budget available for this purpose is US\$ 650,000.

2.1 Objective

Project Objectives and Description: The objective of the Project is to strengthen the transparency, efficiency, and inclusiveness of three (3) specific areas of public financial management, namely, (i) national budget planning, dissemination, and analysis; (ii) local (district, municipal and village) budget planning, dissemination and analysis; and (iii) local monitoring of procurement, budget execution and service performance, in selected districts of Nepal through fostering the use of appropriate social accountability tools by Civil Society Organizations in Nepal.

2.2 Target sub-grantees of the action learning grant (ALG)

Nepali CSOs including NGOs, CBOs, professional associations, policy research and advocacy centers and independent media organizations constitute the population for PRAN support in general. Given the piloting nature/scale of the program and the limitation of the resource, and in view, therefore, of the necessity of close monitoring of the grant implementation, there is the need of being selective in coverage. The idea is to create a critical mass of skilled SA practitioners that will increase over time. It is in consideration of these factors that the coverage target for the present ALG has been defined as follows:

- a) **Piloting social accountability initiative (PSAI):** Considered a large grant in the context of the present program, this type of grant will be provided on a competitive basis to those CSOs that have prior experience in applying SA tools and intend to experiment/innovate with new approaches or refine/expand their current practices. Four grants will be provided under this category towards the first area mentioned above (national budget planning, dissemination, and analysis).
- b) **Testing social accountability tools (TSAT):** This small grant window, which is designed to support the development and testing of SA tools, is intended to support those CSOs who have received training in or exposure to a SA tool through the PRAN and wish to adapt and apply that learning in the Nepal context. Thus, only those CSOs who have received training or exposure on SA under the training component of the PRAN will be eligible for receiving this grant. Some 30 grants will be provided under this category towards the second and third areas mentioned above (local budget planning, dissemination and analysis; and local monitoring of procurement, budget execution and service performance). Geographically, this category limits itself to selected ten districts (Bajura, Achham, Kailali, Kalikot, Rolpa, Jajarkot, Dang, Gorkha, Rolpa and Kapilvastu) spanning 3 development regions (Western, Mid-western and Far-western) of Nepal.

The selection will be done on a competitive basis from among the eligible CSOs.

3. Narrative Progress Report on Program Activities

The program activities carried out during the quarter in question are described below:

3.1 Maintenance of Grant Making Structures and Systems

3.1.1 Grant Making Committee

Five meetings of the Grant Making Committee (GMC) were organized in connection with the selection of CSOs for grant making for the 4th batch (large and small) under MDTF/PFM window².

The first meeting of the quarter in question was held on January 11, 2013; and it had the objective of determining the eligibility of application received for **large grants** for social accountability in **National PFM**. The meeting endorsed the application screening report and decided to forward, for evaluation, the PCNs of the eligible CSOs. Of the 16 PCNs received, 7 were found ineligible while 10 were to be forwarded for evaluation by the GMC. A 3 member sub-committee of GMC for evaluation of PCN of eligible applicants was formed.

The second meeting, held on January 29, 2013 was intended to decide on the result of PCN evaluation and shortlist of the CSOs for inviting for Full Project Proposal (FPP) for large grant for **National PFM**. The meeting decided on the shortlist and to invite the shortlisted CSOs to submit the FPPs. The meeting also agreed on the summary of recommendation/feedback to the proponents on their respective PCNs. Besides, the meeting also made some updates and refinements on the evaluation criteria and FPP format.

The third meeting, held on February 20, 2013 was to determine the eligibility of applications received for **small grants** for social accountability in PFM at **Local** level. The meeting endorsed the eligibility screening report and decided to forward, for evaluation, the FPPs of eligible CSOs. Of the 60 applications received, 14 were found ineligible while 46 FPPs were to be forwarded for evaluation by the GMC. Two sub-committees comprising of 3 GMC members each were formed for evaluation of the FPPs.

The fourth and fifth meetings were held in March 2013 mainly to decide on the final results of evaluation of proposals and decide the rank orders of the CSOs for **large grants** on **National PFM** and **small grants** on **Local PFM** respectively. The summary feedbacks/recommendations for the proponents were also agreed for both categories.

3.1.2 Updates and refinements in the Guidelines

Modified Guidelines for Applicants for **small grants** category, which was finalized through a wide consultative process among PRAN partners, was consented by the PCU in January which was subsequently translated into Nepali language. This progress paved the way for announcing call for proposal for this batch. Likewise, Guidelines for Applicants at Full Project Proposal (FPP) stage for large grants category was prepared and was made available to the CSOs shortlisted for FPP submission. Updates and adjustments

² Since this window is being implemented under banner of PRAN, the grants to be made under this window have been assigned the batch no 4, though this is the first under this funding window.

were made particularly on the format for FPP and evaluation criteria in line with the proposed SA initiatives for National PFM.

3.1.3 Communications and Publicity

As part of the communication strategy, CECI website for PRAN (<http://www.ceci.gov.np/en/where-we-work/asia/nepal/pran/>) has been updated and maintained. Besides, grant making activities were publicized and shared among stakeholders on several occasions, while information about the grant making activities were shared among other grant making agencies/programs.

3.2 Grant Making

With respect to progress in grant making, major milestone was accomplished in this quarter with the completion of the process of selection of CSOs by the GMC that was initiated in the previous quarter.

3.2.1 Large Grants in National PFM

The process of preliminary screening of applications for national PFM was completed and PCNs of the eligible CSOs were forwarded to the GMC members for evaluation in mid-January. Subsequently, the following process steps were completed, in chronological order, by the end of March:

- Evaluation of PCNs by the GMC and decision on shortlist of CSOs.
- Invitation of FPPs from the shortlisted CSOs
- Submission FPPs by the short listed CSOs
- Evaluation of FPPs by the GMC and decision on rank order of CSOs based on evaluation of FPPs.

Altogether 16 CSOs had applied of which 7 were shortlisted for FPP stage. Upon evaluation of those 7 FPPs 3 CSOs were selected for negotiation and contract for large grants (Table 1)

Table 1: CSOs Selected for inviting for negotiation for Large Grant-Batch 4

S.N.	Name of Organization	District of organization
1.	Freedom Forum	Kathmandu
2.	National Council for Economic and Development Research, NAREC Nepal	Kathmandu
3.	South Asia Watch on Trade, Economics and Environment (SAWTEE)	Kathmandu

Note: This list is arranged on alphabetical order by name of the organization and does not reflect the rank order.

Although there was a provision of up to 4 grants in this batch, and 4 CSOs had crossed the pre-determined threshold of scores (see Annex 1) only 3 were recommended by the GMC. The GMC decided not to recommend the fourth, which had a competitive score in FPP evaluation, on grounds of conflict of interest (see section 7).

3.2.2 Small Grants in PFM at Local Level

It was only towards the end of December 2012 that training of CSOs on SA tools on PFM was completed by NCDI³. The precondition for announcement of call for proposal was fulfilled with the receiving from NCDI the list for target CSOs on the one hand and the consent from TTL on the modified Guidelines (see section 3.1.2 above) in the month of January. Subsequently the call for FPP was announced in mid-January. The proposals for SA in PFM at VDC level were received from the target CSOs in early February while the process of preliminary screening of applications was completed and FPPs of the eligible CSOs were forwarded to the GMC members for evaluation in mid-February. The subsequent process of evaluation of FPPs by the GMC and decision on rank order of CSOs based on evaluation of FPPs. was completed in by end March.

Altogether 60 CSOs had submitted FPPs in this category from among which 30 CSOs were recommended by GMC for negotiation and contract for small grants (Table 2).

Table 2: CSOs Selected for inviting for negotiation for Small Grant-Batch 4

S.N.	Name of Organization	District of organization
1.	Malika Development Organisation of Nepal (MDO Nepal)	Achham
2.	Pahunch ra Srijanaka Lagi Sahakarya, Nepal (WAC Nepal)	Achham
3.	Sashakta Mahila Bipannata Biruddhako Karyayatrama Sahabhagita Nepal (SAMABIKAS-Nepal)	Achham
4.	Youths in Empowerment Sector (YES) Nepal	Achham
5.	Chetana Club	Dang
6.	Forum for Human Rights and Disable (FHRD)	Dang
7.	Social Institution for Skill Employment and Awareness (SISEA Nepal)	Dang
8.	Society for Environment Education Development (SEED)	Dang
9.	Society Welfare Action Nepal (SWAN)	Dang
10.	Awareness Group of Oppressed Women, Nepal (AGOW Nepal)	Gorkha
11.	System Development Service Centre (SDSC)	Gorkha
12.	Gramin Ekikrit Bikas Kendra	Jajarkot
13.	Gramin Samaj Nepal	Jajarkot
14.	Panch Tara Yuba Samrakshak Manch (PTYSM)	Jajarkot
15.	Rastriya Dalit Network (RDN) Nepal	Kailali
16.	Rural Development and Research Centre (RDRC)	Kailali
17.	Samudayik Ban Samanwaya Samiti Mohana (CFCC)	Kailali
18.	Dalit Utthan Samaj (DUS)	Kalikot
19.	Himalayan Community Resource Development Centre (HCRDC)	Kalikot
20.	Social Awareness and Development Academy (SAADA-Nepal)	Kalikot
21.	Adharbhut Gramin Bikas Sewa	Kapilvastu
22.	Indreni Rural Development Centre Nepal	Kapilvastu
23.	Jana Adarsha Samajik Kendra	Kapilvastu
24.	Kalika Self-reliance Social Center (KSSC)	Kapilvastu
25.	Lumbini Ekikrit Bikas Sanstha	Kapilvastu
26.	Shisawa Community Development Centre (SCDC-Nepal)	Kapilvastu
27.	Siddhartha Social Development Centre (SSDC)	Kapilvastu

³ The CSOs who have successfully participated in PRAN training on SA tools on PFM conducted by NCDI (Pro-Public) constitute the target CSOs for small grants. Call for Proposals can be made only when this group is ready.

28.	Liberation of Oppressed Development Centre (LODC)	Palpa
29.	Social Resource Development Centre (SRDC-Nepal)	Palpa
30.	Peoples' Oriented Service Center	Rolpa

Note: This list is arranged on alphabetical order by name of district then by name organization and does not reflect the rank order.

Of the 30 CSOs selected 11 came from the Western Development Region, 12 came from Mid-western Development Region while 7 came from Far-western development Region. Beltwise, 3 came from Mountains, 12 from Hills while as many as 15 came from the Terai.

4. Operations and Program Management

The quarterly progress report for the period Oct – Dec, 2012 along with the financial monitoring report was submitted to the TTL and was approved. Similarly, the withdrawal application was submitted to the World Bank and was approved by the TTL. Subsequently, the application for approved amount was submitted through the *clientconnection*; and the fund received in the Designated Account (DA).

Upon request from the PCU, CECI also prepared the interim progress report for the current quarter (Jan – Mar, 2013) and submitted the same to the PCU for its use even as the complete quarterly report is due on May 15, 2013

In the context of the transition of leadership in the PCU, briefing to the new Coordinator got due attention during this quarter. In addition, CECI, together with other PRAN partners, was actively engaged in the consultative process led by the World Bank on refining the results indicators as well as the designing of next phase of the project for until December 2015 including the Impact Evaluation Framework for MDTF-PFM.

CECI continued to participate and share the progress in the Monthly Meetings of PRAN partners as well as other occasional meetings organized by PCU and/or other partners. Likewise, CECI also participated in the 3 day residential Way Forward Workshop organized by PCU in March and made two presentations.

Besides, a revision on the of Procurement Plan was agreed with the TTL (See Annex 3)

5. Summary of Financial Reports

The total expenditure during the reporting period is accounted as **US\$23,232.86**. The cash projection for the next quarter is estimated at **US\$ 321,970.00**. The closing balance at the designated account at the end of the reporting period is **US\$215,536.14**. Thus, the net cash requirement for the next quarter amounts to **US\$ 106,433.86**. The details are presented in the Financial Reports (Attachments).

6. Priorities for the Next Quarter

The major priority for the next quarter (April – June, 2013) will be to conclude the negotiation process followed by signing the contracts with the successful CSOs, orient the sub-grantees on operations and get them at work. Likewise, monitoring of work at the field will also get going starting next quarter.

7. Key Challenges encountered

Some of the key challenges encountered during this quarter have been summarized as follows:

- As a result of the delayed completion of training of CSOs to be targeted for small grants (towards the end of December) together with the concurrence on the modified Guidelines for Applicants the grant making process got little delayed for small grants category. The announcement of call for proposal could take place only in mid-January. Similarly, the process of selection of CSOs for large grants also got little protracted mainly due to the low turnout of applications.
- Despite two rounds of announcement of Call for PCNs only 16 applications were receive for large grants on National PFM. There was a provision of 4 grants and only 7 CSOs were shortlisted for FPP. The process ended up in selecting only 3 CSOs despite the fact that there was a 4th competing proposal.
- The low turnout of proposals was further complicated as the 4th CSO, whose proposal was competitive, was made subject to the test of potential conflict of interest. GMC decided that this proposal be rejected, despite its competitive score, on grounds of conflict of interest. The remaining Proposals could not cross the predetermined minimum threshold of scores. As a result only 3 CSOs were to be selected.
- Notably, all the 3 selected CSOs had proposed to work on health sector. The GMC had asked CECI to make sure during negotiation that each of the 3 CSOs work on different sectors.

Annexes

Annex 1
Rank Order of Passing CSOs: Large Grants – Batch 4
(Recommended by GMC Based on Proposal Evaluation)

S.No.	Name of Organization	District of organization
1.	South Asia Watch on Trade, Economics and Environment (SAWTEE)	Kathmandu
2.	National Council for Economic and Development Research, NAREC Nepal	Kathmandu
3.	GoGo Foundation	Kathmandu
4.	Freedom Forum	Kathmandu

Note: This list is arranged according to merit order of scores obtained by proposal of each CSO.

Annex 2
Rank Order of Passing CSOs: Small Grants – Batch 4
(Recommended by GMC Based on Proposal Evaluation)

S,N.	Name of Organization	District of organization
1.	Kalika Self-reliance Social Center (KSSC)	Kapilvastu
2.	Dalit Utthan Samaj (DUS)	Kalikot
3.	Shisawa Community Development Centre (SCDC-Nepal)	Kapilvastu
4.	Awareness Group of Oppressed Women, Nepal (AGOW Nepal)	Gorkha
5.	Siddhartha Social Development Centre (SSDC)	Kapilvastu
6.	Social Awareness and Development Academy (SAADA-Nepal)	Kalikot
7.	Gramin Ekikrit Bikas Kendra	Jajarkot
8.	Lumbini Ekikrit Bikas Sanstha	Kapilvastu
9.	Social Resource Development Centre (SRDC-Nepal)	Palpa
10.	Gramin Samaj Nepal	Jajarkot
11.	Liberation of Oppressed Development Centre (LODC)	Palpa
12.	Social Institution for Skill Employment and Awareness (SISEA Nepal)	Dang
13.	Rastriya Dalit Network (RDN) Nepal	Kailali
14.	Society Welfare Action Nepal (SWAN)	Dang
15.	Himalayan Community Resource Development Centre (HCRDC)	Kalikot
16.	Malika Development Organisation of Nepal (MDO Nepal)	Achham
17.	Pahunch ra Srijanaka Lagi Sahakarya, Nepal (WAC Nepal)	Achham
18.	Samudayik Ban Samanwaya Samiti Mohana (CFCC)	Kailali
19.	Youths in Empowerment Sector (YES) Nepal	Achham
20.	Chetana Club	Dang
21.	Jana Adarsha Samajik Kendra	Kapilvastu
22.	Sashakta Mahila Bipannata Biruddhako Karyayatrama Sahabagita Nepal (SAMABIKAS-Nepal)	Achham
23.	Indreni Rural Development Centre Nepal	Kapilvastu
24.	Adharbhut Gramin Bikas Sewa	Kapilvastu
25.	Panch Tara Yuba Samrakshak Manch (PTYSM)	Jajarkot
26.	Society for Environment Education Development (SEED)	Dang
27.	Peoples' Oriented Service Center	Rolpa
28.	Rural Development and Research Centre (RDRC)	Kailali
29.	System Development Service Centre (SDSC)	Gorkha
30.	Forum for Human Rights and Disable (FHRD)	Dang
31.	Oppressed Community Upliftment and Awareness Development Forum (OUADF)	Achham
32.	Jana Sewa Yuwa Samaj	Achham
33.	Generating Income to Foster Transformation (GIFT), Bajura	Bajura
34.	Samajik Bikas Manch	Kailali

Note: This list is arranged according to merit order of scores obtained by proposal of each CSO.

Annex 3

Procurement Plan (PP) for Sub-grants for Projects for Action Learning for FY 2012/12013

<p>Project Name & ID: : Strengthening Civil Society Organizations' Use of Social Accountability to Improve Public Financial Management in Nepal</p> <p>Loan/Credit/Grant No.: [insert as per FA]</p>	<p>Executing Agency: Centre of International Studies and Cooperation (CECI)</p> <p>Implementing Agency: Centre of International Studies and Cooperation (CECI)</p>
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SNO	Description of Services & Contract ID No.	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	Remarks
	CSOs for implementing small grants (30 grants) SCOUSA/PPM/S/FBS-1		P	40.2	FBS ^c	CECI/GMC	NA ^b	Cost Estimate, Short list & RFP to WB	NA ^b	Nov 1, 2012	Nov 23, 2012	Jan 8, 2013	NA ^a	NA ^a	Jan 16, 2013	Feb 11, 2013	NA ^a	Feb 15, 2013	Dec 31, 2013	center	Single proposal for TE and FE	
			R																			
	CSOs for implementing large grants (4 grants) SCOUSA/PPM/S/FBS-1		P	17.8	FBS ^c	CECI/GMC	Sep 25, 2012	Dec 14, 2012	NA ^a	Dec 21, 2012	Jan 14, 2013	Feb 8, 2013	NA ^a	NA ^a	Feb 15, 2013	Mar 11, 2012	NA ^a	Mar 15, 2013	Dec 31, 2013	center	Single proposal for TE and FE	
			R																			

1. Abbreviations: CSO = Civil Society Organization. FA = Financing Agreement. PAD = Project Appraisal Document. NA = Not Applicable. P = Planned. R = Revised. A = Actual.
 FBS = Fixed Budget Selection. GMC Grant Management Committee. PCN = Project Concept Note. FPP = Full Project Proposal. SL = Short List.

2. General Notes:

- a. This format of PP has been prepared for procurement under grant making procedure The CSOs for Sub-grants are selected on a competitive basis by the independent Grant Management Committee comprised of 9 independent members. The selection role of the GMC is independent of CECI and the World Bank. CECI provides secretariat assistance to the GMC. GMC makes selection of CSOs on the basis of procedures and **evaluation criteria prepared by CECI endorsed by GMC and approved by the World Bank. The procedures and criteria followed by GMC for selection of CSOs are described in the Grant Management Manual which is approved by the World Bank.** Relevant part of the Manual (Guidelines for Selection of Grant Recipients) is attached with this PP.
 - b. For small grants category, the PCN round is skipped and the process starts with Full Proposal stage.
 - c. The upper ceilings of grant are set at NRs 1.3 million and NRs. 4.4 million for the small grants category and large grant category respectively.
3. **Differentiated interpretations of Columns:**
 - Column 8 = Call for PCN
 - Column 11 = Call for PPP
 - Column 13 = FPP evaluation S decision by GMC on final list of winning CSOs
 - Column 17 = Finalization of negotiated contract documents
 - Column 9 = PCN evaluation & short listing by GMC
 - Column 12 = FPP submission
 - Column 16 = announcement of results and info to WB
4. **Formula for defining Contract ID NO.:** Project Name/ category of procurement/ selection Method – No. of Procurement under services category in ascending order from the first year to the last year of the Project. i. e. "TWRMP/S/CQS-1", "RAIDP/S/QCBS-23".

FINANCIAL REPORTS

(As attachment)

Centre for International Studies and Cooperation (CECI)
Nepal Public Financial Management, Multi-donor Trust Fund
Strengthening Civil Society Organizations' use of Social Accountability
to improve Public Financial Management in Nepal

Financial Report 1: Sources and Uses of Fund

Period Covered by this Report:

From: January 1, 2013

To: March 31, 2013

SN (1)	Budget Line Item (2)	Note Ref. (3)	Cumulative as of Last Year (4)	This Fiscal Year (2012-2013)				Total (9)=(5)+(6)+(7)+(8)	Cumulative as of This Report (10)=(4)+(9)
				April-June 12 (5)	July-Sept 12 (6)	Oct. - Dec 12 (7)	Jan.-Mar. 13 (8)		
A	Budget Amount	Note 1	-	0.00	0.00	22,941.00	210,109.00	233,050.00	233,050.00
B	Source of Fund								
1.	Opening Balance		0.00	0.00	0.00	0.00		0.00	0.00
2.	MDTF Fund	Note 2	0.00	0.00	0.00	5,743.69	5,743.69	5,743.69	5,743.69
3.	Transfer/Direct Payment from DA	Note 2	0.00	0.00	5,743.69	17,489.17	23,232.86	23,232.86	23,232.86
4.	CECI Reimbursable	Note 2	0.00	0.00	0.00	0.00	0.00	0.00	0.00
C	Total Source of Fund(2+3+4)		0.00	0.00	5,743.69	23,232.86	28,976.55	28,976.55	28,976.55
D	Total Availability of Fund (1+C)		0.00	0.00	5,743.69	23,232.86	28,976.55	28,976.55	28,976.55
E	Uses of Fund								
a.	Sub-grants to CSDOs	Annex 2	0.00	0.00	0.00	0.00	0.00	0.00	0.00
b.	Operating Costs	Annex 2	0.00	0.00	5,743.69	23,232.86	28,976.55	28,976.55	28,976.55
F	Total Uses of Fund (4,1+4,2)		0.00	0.00	5,743.69	23,232.86	28,976.55	28,976.55	28,976.55
G	Closing Balance (D-F)		0.00	0.00	0.00	0.00	0.00	0.00	0.00

Designated Account Statement

1	Opening Balance of Cash	Annex 4	0.00	0.00	0.00	17,197.31	0.00	0.00	0.00
2	Add: Receipt of Fund	Annex 4	0.00	0.00	22,941.00	221,571.69	244,512.69	244,512.69	244,512.69
3	Total Cash Balance		0.00	0.00	22,941.00	238,769.00	244,512.69	244,512.69	244,512.69
4	Less: Transfer/Direct Payment from DA	Annex 4	0.00	0.00	5,743.69	23,232.86	28,976.55	28,976.55	28,976.55
5	Closing Balance at Designated Account (3-4)	Annex 4	0.00	0.00	17,197.31	215,536.14	215,536.14	215,536.14	215,536.14

Sushma

Prepared by: Sushma Shrestha
Date: 27 May 2013

Madhab

Approved by: Madhab K Karkee
Date:

Center for International Studies and Cooperation (CECI)

Nepal Public Financial Management Multi-donor Trust Fund
Strengthening Civil Society Organizations' use of Social Accountability
to Improve Public Financial Management in Nepal

Statement of Sources of Fund

PARTICULARS	Cumulative as of Last FY	Current Fiscal Year				Year to Date	Cumulative to Date
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter		
1. IDA Fund							
A Documented	-	-	-	-	5,743.69	5,743.69	5,743.69
B Less: Refund	-	-	-	-	-	-	-
C Net IDA Fund (A-B)	-	-	-	-	5,743.69	5,743.69	5,743.69

2. Designated Account Transfer and Direct Payment (yet to be documented)

A Plus Transferred to CECI Account	-	-	-	5,743.69	23,232.86	28,976.55	28,976.55
B Plus Direct Payment from Designated Account	-	-	-	-	-	-	-
C Plus Bank Charge on Deposit	-	-	-	-	5,743.69	5,743.69	5,743.69
D Less Documented from IDA	-	-	-	-	17,489.17	23,232.86	23,232.86
E Designated Account Yet to be documented (A+B-C)	-	-	-	5,743.69	17,489.17	23,232.86	23,232.86

3. CECI Reimbursement

A CECI Reimbursement expenditure	-	-	-	-	-	0	-
B Less Transferred from DA	0	-	-	-	-	0	-
C Less Direct Reimbursed from IDA	-	-	-	-	-	0	-
D Net CECI Reimbursable (IDA) (A-B-C)	0	-	-	-	-	0	0
Total Sources of Fund	-	-	-	5,743.69	23,232.86	28,976.55	28,976.55

Prepared by: Sushma Shrestha
Date: _____

Approved by: Madhab K. Karkee
Date: _____

(Signature)
Date: _____

Centre for International Studies and Cooperation (CECI)

Nepal Public Financial Management Multi donor Trust Fund Strengthening Civil Society Organizations use of Social Accountability to Improve Public Management In Nepal

Financial Report 2: Statement of Expenses as per Budget Line

Period Covered by this Report: From: January 01, 2013 To: March 31 2013

SN (1)	Budget Line Item (2)	Budget (3)	This Fiscal Year				Cumulative as of Last Year (4)	year-to-date (9)=(5)+(6)+(7)+(8)	Cumulative as of This Report (10)=(4)+(9)	Amount in US\$ (11)=(3)-(10)
			April-June 12 (5)	July-Sept 12 (6)	Oct-Dec. 12 (7)	Jan-Mar. 13 (8)				
1	Sub-grants to CSOs	650,000.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	650,000.00
	1.1 Small Grants	450,000.00					0.00	0.00	0.00	450,000.00
	1.2 Medium Grants	200,000.00					0.00	0.00	0.00	200,000.00
2	Operating Costs	150,000.00	0.00	0.00	5,743.69	23,232.86	28,976.55	28,976.55	28,976.55	121,023.45
	2.1 Salaries	120,440.00			4,209.90	15,190.59	19,400.49	19,400.49	19,400.49	101,039.51
	2.2 Cost for grant making	19,568.00			1,239.52	6,083.27	7,322.79	7,322.79	7,322.79	12,245.21
	2.3 Travel and Monitoring	3,252.00			51.39	28.00	79.39	79.39	79.39	3,172.61
	2.4 Office Expenses	6,740.00			242.88	1,931.00	2,173.88	2,173.88	2,173.88	4,566.12
	Total expenses	800,000.00	0.00	0.00	5,743.69	23,232.86	28,976.55	28,976.55	28,976.55	771,023.45

Sushma

Prepared by: Sushma Shrestha
Date:

Madhav Karki

Approved by: Madhav K Karkee
Date:

Centre for International Studies and Cooperation (CECI)

Nepal Public Financial Management Multi-donor Trust Fund
Strengthening Civil Society Organizations' use of Social Accountability
to Improve Public Financial Management in Nepal

Financial Report 3: Statement of Budget Monitoring

Period Covered by this Report:

From: January 01, 2013

To: March 31, 2013

SN	Budget Line Item	Total Allocated budget		This Year (2012-2013)		Balance		Amount in US\$
		Budget	Actual	Budget	Actual	Budget	%	
1.	Sub-grants to CSOs	650,000.00	0.00	195,000.00	0.00	650,000.00	0.00%	100.00%
	1.1 Small Grants	450,000.00	0.00	135,000.00	0.00	450,000.00	0.00%	100.00%
	1.2 Medium Grants	200,000.00	0.00	60,000.00	0.00	200,000.00	0.00%	100.00%
2	Operating costs	150,000.00	28,976.55	38,050.00	28,976.55	121,023.45		
	2.1 Salaries	120,440.00	19,400.49	22,600.00	19,400.49	101,039.51	85.84%	83.89%
	2.2 Cost for grant making	19,568.00	7,322.79	11,460.00	7,322.79	12,245.21	63.90%	62.58%
	2.3 Travel and Monitoring	3,252.00	79.39	0.00	79.39	3,172.61	0.00%	97.56%
	2.4 Office Expenses	6,740.00	2,173.88	1,850.00	2,173.88	4,566.12	117.51%	67.75%
Total expenses		800,000.00	28,976.55	233,050.00	28,976.55	771,023.45	12.43%	96.38%

Sushma

Prepared by: Sushma Sinrestha
Date:

Madhab Karkee

Approved by: Madhab Karkee
Date:

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Nepal Public Financial Management Multi-donor Trust Fund
Strengthening Civil Society Organizations' use of Social Accountability
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Financial Report 4: Reconciliation Statement of IDA Designated Account and Cash Projection

Period Covered by this Report:

From: January 01, 2013

To: March 31, 2013

S.N.	Description	Cumulative as of last year	Current year (2012-2013)				Total	Cumulative to date
			April-June 12	July-Sept 12	Oct-Dec. 12	Jan-Mar. 13		
1	Opening Balance of Cash		0.00	0.00	0.00	17,197.31	0.00	
2	Add: Receipt of Fund	0.00	0.00	22,941.00		221,571.69	244,512.69	244,512.69
3	Total Cash Balance	0.00	0.00	0.00	22,941.00	238,769.00	244,512.69	244,512.69
4	Less: Transfer/Direct Payment from DA	0.00	0.00	5,743.69		23,232.86	28,976.55	28,976.55
5	Closing Balance at Designated Account (3-4)	0.00	0.00	17,197.31		215,536.14	215,536.14	215,536.14
6	Amount claim but not yet accounted	0.00	0.00	0.00		0.00	0.00	0.00
7	Expected DA closing balance	0.00	0.00	17,197.31		215,536.14	215,536.14	215,536.14

CASH REQUIREMENT PROJECTION

8	Outstanding Expenditure of this Quarter Not Yet Paid	-
9	Cash Projection for Next Quarters	321,970.00
10	TOTAL CASH REQUIREMENT (8+9)	321,970.00
11	Less: Expected closing Balance at Designated Account (7 above)	215,536.14
12	Net Cash Requirement for Next one Quarters (8-9)	106,433.86

Prepared by: Sushma Shrestha

Date:

Madhav Karki

Approved by: Madhab K Karki

Date:

Centre for International Studies and Cooperation (CECI)
Nepal Public Financial Management Multi-donor Trust Fund
Strengthening Civil Society Organizations' use of Social Accountability
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Summary of Withdrawal Application

Period: 01 January 2013 to March 31, 2013

WA No: 3

S.No.	Description	Amount in USD	Remarks
(1)	Opening Cash Balance in Designated Account	17,197.31	
(2)	Received Advance Amount from WA # 2	221,571.69	
A	Total Available balance in Designated Account as of WA # 2	238,769.00	
B	Expended from Designated Account	23,232.86	
C	Closing Cash Balance in Designated Account (A-B)	215,536.14	
D	Fund claimed from WA# not yet received	0.00	
E	Expected DA Balance (C+D)	215,536.14	
F	Total Cash Requirement	321,970.00	
G	Net Cash Requirement (F-E)	106,433.86	

Amount in USD

Category	Description	Total Eligible Expenditure	Financing %	Amount	Remarks
1	Advance requested from WA No. 3			106,433.86	
2	Total Documented (a+b)	-		23,232.86	
(a)	Category 1 - Sub-Grants		100%		
(b)	Category 2 - Incremental Operating Cost		100%	23,232.86	
3	Designated Account Expected Balance from "E" above			215,536.14	
4	Expected Advance Balance in DA (1+3)			321,970.00	

Sushma

Prepared by: Sushma Shrestha

Date:

Madhab Karkee

Approved by: Madhab K Karkee

Date:

Centre for International Studies and Cooperation (CECI)
 Nepal Public Financial Management Multi-donor Trust Fund
 Strengthening Civil Society Organizations' use of Social Accountability
 to Improve Public Financial Management in Nepal

Summary of Cash Withdrawal (Disbursement)
 FY 011/2012

Period : 01 January 2013 to 31 March 2013

S. No.	W/A No.	Requested			Disbursed			Total(8+9)	
		Currency	Amount	Value Date	Categories		Total		
					1	2			7
1	2	3	4	5	6	7	8	9	10
A. Cumulative as of Last Fiscal Year (as of March 2012)									
<i>B.1 1st Quarter (April-June 2012)</i>									
<i>B.2 2nd Quarter (July-September 2012)</i>									
<i>B.3 3rd Quarter (October-December 2012)</i>									
1	WA 1	USD	22,941.00	10/22/2012				22,941.00	22,941.00
2		USD							
<i>B.4 4th Quarter (January-March 2013)</i>									
1	WA 2	USD	221,571.69	3/18/2013		5,743.69	5,743.69	(5,743.69)	-
2		USD	221,571.69			5,743.69	5,743.69	(5,743.69)	221,571.69
B. Year to Date (B1+B2+B3+B4)			244,512.69					238,769.00	244,512.69
C. Cumulative as of Reporting Period (A+B)			244,512.69					238,769.00	244,512.69

Amount in USD

Prepared by: Sushma Shrestha
 Date:

Approved by: Madhab K Karkee
 Date:

Centre for International Studies and Cooperation (CECI)

Nepal Public Financial Management Multi-Donor Trust Fund
 Strengthening Civil Society Organisation us of Social Accountability
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Budget for FY 2013/14

	Budget Code	July-Sept 13	Oct-Dec 13	Jan-Mar 14	April-June 14	TOTAL
1. Operating Cost						
	708710200	33,310.00	21,766.00	28,214.00		83,290.00
		33,310.00	21,766.00	28,214.00		49,980.00
2. Sub-grants to CSOs						
Small grants	708710710	180,000.00	90,000.00	45,000.00		315,000.00
Medium grants	708710720	80,000.00	40,000.00	20,000.00		140,000.00
ALL TOTAL		293,310.00	151,766.00	93,214.00		538,290.00

Machhab Karkee

Approval certified by: Machhab Karkee

Team Leader

Date:

800,000.00

Centre for International Studies and Cooperation (CECI)

Nepal Public Financial Management Multi-Donor Trust Fund
Strengthening Civil Society Organisation us of Social Accountability
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Budget for FY 2012/13

	Budget Code	Aug -Sept 12	Oct-Dec 12	Jan-Mar 13	April-June 13	TOTAL
1. Operating Cost	708710200		22,941.00	15,109.00	28,660.00	66,710.00
			22,941.00	15,109.00	28,660.00	66,710.00
2. Sub-grants to CSOs			0.00	195,000.00	0.00	195,000.00
Small grants	708710710		0.00	135,000.00	0.00	135,000.00
Medium grants	708710720		0.00	60,000.00	0.00	60,000.00
ALL TOTAL			22,941.00	210,109.00	28,660.00	261,710.00



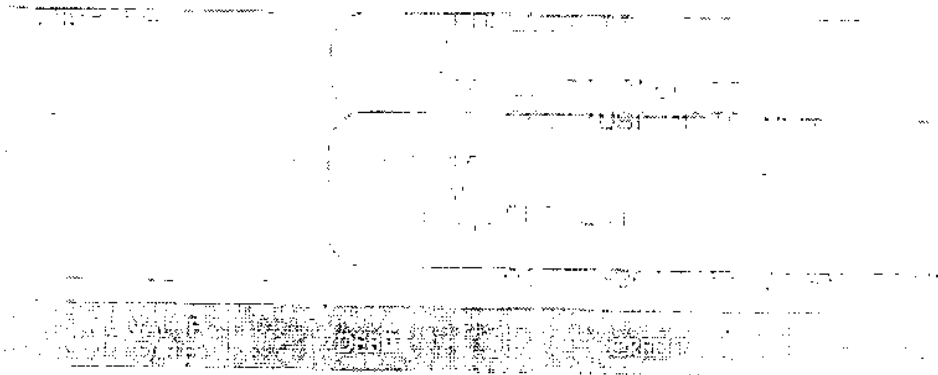
Approval certified by: Madhab Karkee

Team Leader

Date:

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